

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,507	02/05/2001	Robert Groat	NETD-0115-1

22506
JAGTIANI & ASSOCIATES
10379-B DEMOCRACY LANE
FAIRFAX, VA 22030

CONFIRMATION NO. 8475

FORMALITIES LETTER



OC000000005929939

Date Mailed: 04/03/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

[Signature]
PART 2 - COPY TO BE RETURNED WITH RESPONSE

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GROAT, et al.

Serial Number: 09/775,507

Filed: February 5, 2001

For: FINANCIAL ADVISORY STORAGE SYSTEM



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) Art Unit: 2164
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) Examiner: To be Assigned
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Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

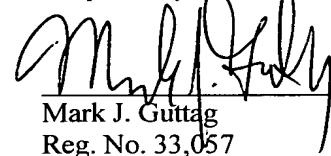
Sir:

This is in response to the Notice to File Corrected Application Papers dated April 3, 2001, the two-month period for response, which is set to expire June 3, 2001. The Notice to File Corrected Application Papers requires changes to the formal drawings in the above-identified application. (See attached copy of Notice to File Corrected Application Papers).

Applicants hereby submit the requested changes to the formal drawings pursuant to the Notice to File Corrected Application Papers dated April 3, 2001, requiring corrections to informalities to formal drawings for the above-identified application. Please substitute the six (6) attached formal drawings for originally filed drawing sheets 1 through 6, which comprise all of the originally filed drawing sheets. Applicants submit that these substitute drawings are in compliance with 37 CFR § 1.84.

An indication of the acceptance of these drawings is respectfully requested.

Respectfully submitted,



Mark J. Gutttag
Reg. No. 33,057

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Fairfax, Virginia 22030
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May 22, 2001

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MAY 24 2001
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:)
GROAT, et al.)
Serial Number: 09/775,507) Art Unit: 2164
Filed: February 5, 2001) Examiner: To be Assigned
For: FINANCIAL ADVISORY STORAGE SYSTEM)

Assistant Commissioner for Patents
Washington, D.C. 20231

LETTER

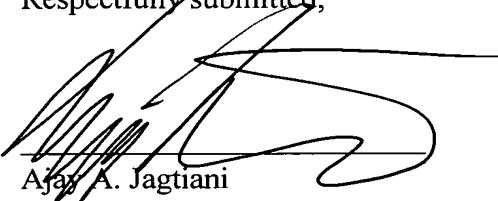
Sir:

The below-identified communication(s) is (are) submitted in the above-captioned application or proceeding:

- Response to Notice to File Corrected Application Papers
- Copy of Notice
- Formal Drawings (6 sheets)

- The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. §§ 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 10-0233, NETD-0115-1.**

Respectfully submitted,



Ajay A. Jagtiani
Registration Number 35,205

May 22, 2001

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